4910-06-P

DEPARTMENT OF TRANSPORTATION

Federal Railroad Administration

Proposed Agency Information Collection Activities; Comment Request

[Docket No. FRA-2015-0007-N-22]

AGENCY: Federal Railroad Administration (FRA), Department of Transportation (DOT).

ACTION: Notice and Request for Comments.

SUMMARY: In compliance with the Paperwork Reduction Act of 1995, this notice announces that FRA is forwarding the regular Clearance and renewal information Collection Requests (ICRs) abstracted below to the Office of Management and Budget (OMB) for review and comment. The ICR describes the nature of the information collection and its expected burden. The **Federal Register** notice with a 60-day comment period soliciting comments on the following collection of information was published on May 26, 2015 (80 FR 30109).

DATES: Comments must be submitted on or before [INSERT DATE 30 DAYS

AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER].

FOR FURTHER INFORMATION CONTACT:

Mr. Robert Brogan, Regulatory Safety Analysis Division, RRS-21, Federal Railroad
Administration, 1200 New Jersey Ave., SE, Mail Stop 25, Washington, DC 20590
(Telephone: (202) 493-6292), or Ms. Kimberly Toone, Office of Information
Technology, RAD-20, Federal Railroad Administration, 1200 New Jersey Ave., SE, Mail

Stop 35, Washington, DC 20590 (Telephone: (202) 493-6132). (These telephone numbers are not toll-free.)

SUPPLEMENTARY INFORMATION:

The Paperwork Reduction Act of 1995 (PRA), Public Law No. 104-13, sec. 2, 109 Stat. 163 (1995) (codified as revised at 44 U.S.C. 3501-3520), and its implementing regulations, 5 CFR part 1320, require Federal agencies to issue two notices seeking public comment on information collection activities before OMB may approve paperwork packages. 44 U.S.C. 3506, 3507; 5 CFR 1320.5, 1320.8(d)(1), and 1320.12. On May 26, 2015, FRA published a 60-day notice in the **Federal Register** soliciting comment on the ICRs that the agency is seeking OMB approval. <u>See</u> 80 FR 30109. FRA received no comments in response to this notice.

Accordingly, FRA has reevaluated and certified these information collection activities under 5 CFR 1320.5(a), and is forwarding these ICRs to OMB for review and approval pursuant to 5 CFR 1320.12(c).

Before OMB decides whether to approve these proposed collections of information, it must provide 30 days for public comment. 44 U.S.C. 3507(b); 5 CFR 1320.12(d). Federal law requires OMB to approve or disapprove paperwork packages between 30 and 60 days after the 30 day notice is published. 44 U.S.C. 3507 (b)-(c); 5 CFR 1320.12(d); see also 60 FR 44978, 44983, Aug. 29, 1995. OMB believes that the 30 day notice informs the regulated community to file relevant comments and affords the agency adequate time to digest public comments before it renders a decision. 60 FR 44983, Aug. 29, 1995. Therefore, respondents should submit their respective comments

to OMB within 30 days of publication to best ensure having their full effect. 5 CFR

1320.12(c); see also 60 FR 44983, Aug. 29, 1995.

The summaries below describe the nature of the ICRs and the expected burden.

FRA is submitting these proposed information collections to OMB for clearance as

required by the PRA.

Title: FRA Safety Advisory 2015-01, Mechanical Inspections and Wheel Impact Detector

Standards for Trains Transporting Large Amounts of Class 3 Flammable Liquids

OMB Control Number: 2130-0607

Abstract: Recent derailments have occurred involving trains transporting large quantities

of petroleum crude oil and ethanol. Preliminary investigation of one of these recent

derailments involving a crude oil train indicates that a mechanical defect involving a

broken tank car wheel may have caused or contributed to the incident. FRA issued this

Safety Advisory to make recommendations to enhance the mechanical safety of the cars

in trains transporting large quantities of flammable liquids. This Safety Advisory

recommended that railroads use highly qualified individuals to conduct the brake and

mechanical inspections and recommends a reduction to the impact threshold levels the

industry currently uses for wayside detectors that measure wheel impacts to ensure the

wheel integrity of tank cars in those trains.

Type of Request: Regular Clearance of an Information Collection Approved under

Emergency Processing

Affected Public: Businesses (Railroads)

Form(s): N/A

Total Annual Estimated Responses: 351,000

Total Annual Estimated Burden: 6,333 hours

Title: FRA Safety Advisory 2015-02, Hazardous Materials: Information Requirements Related

to the Transportation of Trains Carrying Specified Volumes of Flammable Liquids

OMB Control Number: 2130-0608

Abstract: Due to recent derailments involving "high hazard flammable trains" (HHFTs),

FRA and PHMSA have conducted several post-accident investigations and to ensure that

stakeholders are fully aware of each agency's investigative authority and cooperate with

agency personnel conducting such investigations, where time is of the essence in

gathering evidence, the agencies issued a Safety Advisory (FRA Safety Advisory 2015-

02 and Docket NO. PHMSA-2015-0118, Notice No. 15-11) to remind railroads operating

HHFTs – defined as a train comprised of 20 or more loaded tank cars of a Class 3

flammable liquid in a continuous block, or a train with 35 or more loaded tank cars of a

Class 3 flammable liquid across the entire train -- as well as the offerors of Class 3

flammable liquids transported on such trains, of their obligation to provide PHMSA and

FRA, as expeditiously as possible, with information agency personnel need to conduct

investigations immediately following an accident or incident.

Type of Request: Regular Clearance of an Information Collection Approved under

Emergency Processing

Affected Public: Businesses (Railroads)

Form(s): N/A

Total Annual Estimated Responses: 50

Total Annual Estimated Burden: 100 hours

Title: FRA Emergency Order No. 30, Emergency Order Establishing a Maximum

Operating Speed Operating Speed of 40 mph in High-Threat Urban Areas for Certain

Trains Transporting Large Quantities of Class 3 Flammable Liquids

OMB Control Number: 2130-0609

Abstract: FRA issued Emergency Order No. 30 (EO or Order) to require that trains

transporting large amounts of Class 3 flammable liquid through certain highly populated

areas adhere to a maximum authorized operating speed limit. FRA has determined that

public safety compels issuance of the Order. The Order was necessary due to the recent

occurrence of railroad accidents involving trains transporting petroleum crude oil and

ethanol and the increasing reliance on railroads to transport voluminous amounts of those

hazardous materials in recent years. Under the EO, an affected train is one that contains:

(1) 20 or more loaded tank cars in a continuous block, or 35 or more loaded tank cars, of

Class 3 flammable liquid; and (2) at least one DOT Specification 111 (DOT-111) tank car

(including those built in accordance with Association of American Railroads (AAR)

Casualty Prevention Circular 1232 (CPC-1232)) loaded with a Class 3 flammable liquid.

Affected trains must not exceed 40 miles per hour (mph) in high-threat urban areas

(HTUAs) as defined in 49 CFR 1580.3. This Order took effect immediately upon

issuance.

Type of Request: Regular Clearance of an Information Collection Approved under

Emergency Processing

Affected Public: Businesses (Railroads)

Form(s): N/A

Total Annual Estimated Responses: 25

Total Annual Estimated Burden: 1,000 hours

Title: Railroad Signal System Requirements

OMB Control Number: 2130-0006

Abstract: The regulations pertaining to railroad signal systems are contained in 49 CFR parts 233 (Signal System Reporting Requirements), 235 (Instructions Governing Applications for Approval of a Discontinuance or Material Modification of a Signal System), and 236 (Rules, Standards, and Instructions Governing the Installation, Inspection, Maintenance, and Repair of Systems, Devices, and Appliances). Section 233.5 provides that each railroad must report to FRA within 24 hours after learning of an accident or incident arising from the failure of a signal appliance, device, method, or system to function or indicate as required by part 236 of this Title that results in a more favorable aspect than intended or other condition hazardous to the movement of a train. Section 233.7 sets forth the specific requirements for reporting signal failures within 15 days in accordance with the instructions printed on Form FRA F 6180.14.

Finally, § 233.9 sets forth the specific requirements for the "Signal System Five Year Report." It requires that every five years each railroad must file a signal system status report. The report is to be prepared on a form issued by FRA in accordance with the instructions and definitions provided. Title 49 of the Code of Federal Regulations, part 235 sets forth the specific conditions under which FRA approval of modification or discontinuance of railroad signal systems is required and prescribes the methods available

to seek such approval. The application process prescribed under part 235 provides a vehicle enabling FRA to obtain the necessary information to make logical and informed decisions concerning carrier requests to modify or discontinue signaling systems. Section 235.5 requires railroads to apply for FRA approval to discontinue or materially modify railroad signaling systems. Section 235.7 defines material modifications and identifies those changes that do not require agency approval. Section 235.8 provides that any railroad may petition FRA to seek relief from the requirements under 49 CFR part 236. Sections 235.10, 235.12, and 235.13 describe where the petition must be submitted, what information must be included, the organizational format, and the official authorized to sign the application. Section 235.20 sets forth the process for protesting the granting of a carrier application for signal changes or relief from the rules, standards, and instructions. This section provides the information that must be included in the protest, the address for filing the protest, the item limit for filing the protest, and the requirement that a person requesting a public hearing explain the need for such a forum. Section 236.110 requires that the test results of certain signaling apparatus be recorded and specifically identify the tests required under §§ 236.102-109; 236.377-236.387; 236.576; 236.577; and 236.586-589. Section 236.110 further provides that the test results must be recorded on preprinted or computerized forms provided by the carrier and that the forms show the name of the railroad, place and date of the test conducted, equipment tested, test results, repairs, and the condition of the apparatus. This section also requires that the employee conducting the test must sign the form and that the record be retained at the office of the supervisory official having the proper authority. Results of tests made in compliance

with § 236.587 must be retained for 92 days, and results of all other tests must be retained until the next record is filed, but in no case less than one year. Additionally, § 236.587 requires each railroad to make a departure test of cab signal, train stop, or train control devices on locomotives before that locomotive enters the equipped territory. This section further requires that whoever performs the test must certify in writing that the test was properly performed. The certification and test results must be posted in the locomotive cab with a copy of the certification and test results retained at the office of the supervisory official having the proper authority. However, if it is impractical to leave a copy of the certification and test results at the location of the test, the test results must be transmitted to either the dispatcher or one other designated official who must keep a written record of the test results and the name of the person performing the test. All records prepared under this section are required to be retained for 92 days. Finally, § 236.590 requires the carrier to clean and inspect the pneumatic apparatus of automatic train stop, train control, or cab signal devices on locomotives every 736 days, and to stencil, tag, or otherwise mark the pneumatic apparatus indicating the last cleaning date.

Type of Request: Revision of a currently approved information collection

Affected Public: Businesses (Railroads)

Form(s): FRA F 6180.47; FRA F 6180.14

Total Annual Estimated Responses: 1,673,546

Total Annual Estimated Burden: 444,883 hours

Addressee: Send comments regarding these information collections to the Office of Information and Regulatory Affairs, Office of Management and Budget, 725 Seventeenth

Street, N.W., Washington, D.C., 20503, Attention: FRA Desk Officer. Comments may

also be sent via e-mail to OMB at the following address:

oira_submissions@omb.eop.gov.

Comments are invited on the following: Whether the proposed collections of information

are necessary for the proper performance of the functions of the Department, including

whether the information will have practical utility; the accuracy of the Department's

estimates of the burden of the proposed information collection; ways to enhance the

quality, utility, and clarity of the information to be collected; and ways to minimize the

burden of the collection of information on respondents, including the use of automated

collection techniques or other forms of information technology.

A comment to OMB is best assured of having its full effect if OMB receives it

within 30 days of publication of this notice in the **Federal Register**.

Authority: 44 U.S.C. 3501-3520.

Issued in Washington, DC on August 26, 2015.

Corey Hill,

Acting Executive Director.

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